



#5/108  
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PATENT .

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GUPTA et al.

Application: A PORTABLE LII BASED INSTRUMENT AND METHOD FOR  
PARTICULATE CHARACTERIZATION IN COMBUSTION EXHAUST

Serial No. 09/767,104

Filing date: January 22, 2001

Art Unit: (not yet assigned)

Examiner: (not yet assigned)

Case: ANL-IN-99-056

CERTIFICATE OF MAILING: I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed for Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

Oct. 1, 2002 *Joan Pennington*  
Date of Deposit Signature

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535 North Michigan Avenue  
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Honorable Commissioner of  
Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:


Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. Copies are enclosed. Applicants reserve the right if appropriate to establish a prior date of invention as to one or more cited items pursuant to Rule 131.

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In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Respectfully submitted,

By   
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